

Course Completion (SPIA)

Please sign and return the course completion page to us via Fax: 317 574 6253 or by email Agency@guggenheimpartners.com

As a representative of Guggenheim Life and Annuity Company (the “Company” or “Guggenheim”), it is important that you understand the Company’s guidelines on sales suitability, regulatory compliance and market conduct. Our Business Guidelines are designed to give you an overview of our business practices. Insurance companies and their agents are being held by the public to a very high standard.

By accepting appointment with the Company, you are agreeing to be bound by the provisions of the guidelines which have been adopted by the Company. Should you have any questions about any of the material included in the guidelines, please feel free to call the Marketing Department at 800 767 7749.

AGENT STATEMENT: By signing below, I acknowledge that I have reviewed this Agent Training in its entirety.

Signature of Agent

Date

Printed Name of Agent

Guggenheim Agent Number

Marketing Organization Affiliation

DBA Guggenheim Life and Annuity Insurance Company in California

For Agent Information Only. Not Intended for Solicitation or Advertising To The Public.